

Docket No. 293327US2PCT

IAP12 Rec'd PCT/PTO 21 AUG 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Hitoshi KUMA

SERIAL NO: New U.S PCT Application Based on PCT/JP05/04225

GAU:

FILED: Herewith

EXAMINER:

FOR: FLUORESCENT CONVERSION MEDIUM AND COLOR LIGHT EMITTING DEVICE

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references cited in the International Search Report and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR § 1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR § 1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.
Marvin J. Spivak

Registration No. 24,913

Customer Number

22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 05/03)

Surinder Sachar

Registration No. 34,423

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 293327US2PCT		SERIAL NO. 194590052 New U.S. PCT Application Based on PCT/JP05/04225	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Hitoshi KUMA		GROUP 2889	
				FILING DATE Herewith			
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
/Z.S./	AA 6 608 439	08/19/03	SOKOLIK, Igor et al.				
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
/Z.S./	AO	2004-083653	03/18/04	JP(with English abstract & equivalent of US 2004/105481)			NO
/Z.S./	AP	2002-510866	04/09/02	JP(equivalent of WO 99/50916 & US 6 501 091)			NO
/Z.S./	AQ	2003-505330	02/12/03	JP(equivalent of WO 01/07689 & US 6 607 829)			NO
/Z.S./	AR	03-152897	06/28/91	JP(with English abstract)			NO
/Z.S./	AS	08-286033	11/01/96	JP(with English abstract)			NO
/Z.S./	AT	2000-256565	09/19/00	JP(with English abstract)			NO
/Z.S./	AU	2003-231450	08/19/03	JP(with English abstract)			NO
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW						
	AX						
	AY						
	AZ						<input type="checkbox"/> Additional References sheet(s) attached
Examiner	/Zachary Snyder/						
							Date Considered 06/19/2008

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

New U.S. PCT Application Based on PCT/JP05/04225
Hitoshi KUMA
Docket No. 293327 US

STATEMENT OF RELEVANCY

- 1) References AO - AQ have been cited in the International Search Report. A copy of these references is being submitted herewith.
- 2) References have been cited in the corresponding Search Report. A copy of these references is being submitted herewith.
- 3) References AA, AR - AU are discussed in the specification. A copy of these references is being submitted herewith.
- 4) References are additional prior art known to Applicant. A copy of these references is being submitted herewith.